



COPY OF PAPERS
ORIGINALLY FILED

Attorney Docket No.
JSF 35.017

AS

Declaration For Patent Application
English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD FOR GENERATING CUSTOMER SECURE CARD NUMBERS
SUBJECT TO USE RESTRICTIONS BY AN ELECTRONIC CARD**

Was filed on **September 21, 2001**, U.S. Patent Application Serial No. **09/960,714**

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed
None

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below: None

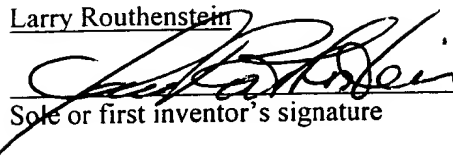
I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole first inventor

Larry Routhenstein


Sole or first inventor's signature

4/10/02
Date

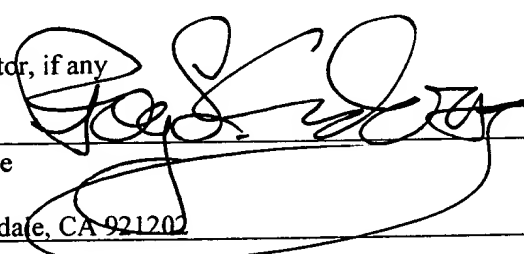
5183 Wellington Park Circle, #C47, Orlando, FL 32839
Residence

U.S. Citizen
Citizenship

5183 Wellington Park Circle, #C47, Orlando, FL 32839
Post Office Address

Full name of second inventor, if any

Roy L. Anderson


Second inventor's signature

March 6, 2002
Date

1334 Graynold Ave., Glendale, CA 921202
Residence

U.S. Citizen
Citizenship

1334 Graynold Ave., Glendale, CA 921202
Post Office Address

Full name of third inventor, if any

William R. Bryant

Third inventor's signature

Date

12001 Coverstone Hill Circle, Apt #324, Manasas, VA 20109
Residence

U.S. Citizen
Citizenship

12001 Coverstone Hill Circle, Apt #324, Manasas, VA 20109
Post Office Address

Full name of fourth inventor, if any

Jacob Y. Wong

Fourth inventor's signature

Date

7110 Georgetown Rd., Goleta, CA 93117

Residence

U.S. Citizen

Citizenship

7110 Georgetown Rd., Goleta, CA 93117

Post Office Address

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Declaration For Patent Application English Language Declaration

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Prior Foreign Application(s)

Priority Not Claimed
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(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole first inventor

Larry Routhenstein

Sole or first inventor's signature

Date

5183 Wellington Park Circle, #C47, Orlando, FL 32839

Residence

U.S. Citizen

Citizenship

5183 Wellington Park Circle, #C47, Orlando, FL 32839

Post Office Address

Full name of second inventor, if any

Roy L. Anderson

Second inventor's signature

March 6, 2002
Date

1334 Graynold Ave., Glendale, CA 921202

Residence

U.S. Citizen

Citizenship

1334 Graynold Ave., Glendale, CA 921202

Post Office Address

Full name of third inventor, if any

William R. Bryant

Third inventor's signature

Date

12001 Coverstone Hill Circle, Apt #324, Manasas, VA 20109

Residence

U.S. Citizen

Citizenship

12001 Coverstone Hill Circle, Apt #324, Manasas, VA 20109

Post Office Address

Full name of fourth inventor, if any

Jacob Y. Wong

Fourth inventor's signature

 April 25, 2002

Date

7110 Georgetown Rd., Goleta, CA 93117

Residence

U.S. Citizen

Citizenship

7110 Georgetown Rd., Goleta, CA 93117

Post Office Address

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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**

Serial No. 09/960,714	Filing Date September 21, 2001	Patent No.	Attorney Docket No. JSF 35.017
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Applicant: Larry Routhenstein, Roy L. Anderson, William R. Bryant, Jr. and Jacob Y. Wong
Patentee: PrivaSys, Inc.

Invention: : **METHOD FOR GENERATING CUSTOMER SECURE CARD NUMBER SUBJECT TO
USE RESTRICTIONS BY AN ELECTRONIC CARD.**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: PrivaSys, Inc.
ADDRESS OF CONCERN: 40 First Street, Suite 300
San Francisco, CA 94105

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or third party or parties controls or has the power to control both.

I hereby declare rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

- ☐ the specification filed herewith title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
- ☐ each such person, concern or organization is listed below.

FULL NAME _____
ADDRESS _____

Individual

Small Business Concern

Nonprofit Organization

FULL NAME _____
ADDRESS _____

Individual

Small Business Concern

Nonprofit Organization

FULL NAME _____
ADDRESS _____

Individual

Small Business Concern

Nonprofit Organization

FULL NAME _____
ADDRESS _____

Individual

Small Business Concern

Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Roy L. Anderson

TITLE OF PERSON SIGNING
OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING: 18881 Von Karman Ave., Suite 1400
Irvine, CA 92612

SIGNATURE: _____



DATE: _____

April 24, 2002

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COPY OF PAPERS
ORIGINALLY FILED

STP #8

Docket No.
JSF 35.0017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Certificate of Mailing by Regular Mail

Name of Applicant: PrivaSys, Inc.

Inventors: Larry Routhenstein, Roy L. Anderson, William R. Bryant Jr., Jacob Y. Wong

Invention: METHOD FOR GENERATING CUSTOMER SECURE CARD
NUMBERS SUBJECT TO USE RESTRICTIONS BY AN
ELECTRONIC CARD

Filing Date: September 21, 2001

I hereby certify that this Certificate of Mailing by Regular Mail, along with the specifically identified as enclosed documents below, are being deposited with the United States Postal Service "Regular Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.20231-0001, "Regular Mail", on April 26, 2002. The enclosed documents are: (1) Check No. 0620 in the amount of 1,155.00; (2) Notice To File Missing Parts Of Application; (3) Response To Notice To File Missing Parts Of Application; (4) Verified Statement Claiming Small Entity; (5) Two Copies of Declaration for Patent Application; (6) Petition Pursuant to 37 CFR § 1.47 (b); (7) Check No. 0626 in the amount of 130.00; (8) Return Post Card

Roy L. Anderson

(Typed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)